

Joseph Nuzzi, Sr.

Order for Sanctions to Cease and Desist

DATE: February 1, 2005

RESPONDENT: Joseph Nuzzi, Sr., Wichita, KS

ALLEGATIONS: Unregistered Loan Broker

On February 1, 2005, staff alleged that Joseph Nuzzi, Sr., ("Nuzzi") acted as unregistered loan broker while operating a home-based business called Loan Finder. The Kansas Securities Commissioner's office alleged that Nuzzi, as an agent of Soto & Associates, Beverly Hills, CA (See accompanying Order on February 1, 2005), placed an advertisement in the Wichita Eagle newspaper soliciting funds as a loan broker in which one Kansas resident responded and participated in the loan program.

Nuzzi, without admitting or denying the allegations agreed to a Stipulation for Consent Order with staff and agreed to refund the broker or finder's fee. As such, the Commissioner ordered Nuzzi to Cease and Desist from further transactions as a loan broker in Kansas.

Notice to Investors: If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the [North American Securities Administrators Association](#) website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.